### REPORT DOCUMENTATION PAGE

Form Approved OMB No. 0704-0188

The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to appropriate the response to the provision of law, no person shall be

subject to any penalty for failing to comply with a PLEASE DO NOT RETURN YOUR FO	a collection of information if it does not disp RM TO THE ABOVE ADDRESS.	play a currently valid OMB contr	ol number.
	2. REPORT TYPE		3. DATES COVERED (From - To)
4. TITLE AND SUBTITLE		5a. C	ONTRACT NUMBER
		5b. G	RANT NUMBER
		5c. Pi	ROGRAM ELEMENT NUMBER
6. AUTHOR(S)		5d. Pl	ROJECT NUMBER
		5e. T <i>i</i>	ASK NUMBER
		5f. W	ORK UNIT NUMBER
7. PERFORMING ORGANIZATION NA	AME(S) AND ADDRESS(ES)	I	8. PERFORMING ORGANIZATION REPORT NUMBER
9. SPONSORING/MONITORING AGE	NCY NAME(S) AND ADDRESS(E	SS)	10. SPONSOR/MONITOR'S ACRONYM(S)
			11. SPONSOR/MONITOR'S REPORT NUMBER(S)
12. DISTRIBUTION/AVAILABILITY ST	<b>FATEMENT</b>		
13. SUPPLEMENTARY NOTES			
14. ABSTRACT			
15. SUBJECT TERMS			
16. SECURITY CLASSIFICATION OF: a. REPORT   b. ABSTRACT   c. Th	ADOTDACT	OF	AME OF RESPONSIBLE PERSON
		PAGES 19b. T	ELEPHONE NUMBER (Include area code)

#### **INSTRUCTIONS FOR COMPLETING SF 298**

- **1. REPORT DATE.** Full publication date, including day, month, if available. Must cite at least the year and be Year 2000 compliant, e.g. 30-06-1998; xx-06-1998; xx-xx-1998.
- **2. REPORT TYPE.** State the type of report, such as final, technical, interim, memorandum, master's thesis, progress, quarterly, research, special, group study, etc.
- 3. DATES COVERED. Indicate the time during which the work was performed and the report was written, e.g., Jun 1997 Jun 1998; 1-10 Jun 1996; May Nov 1998; Nov 1998.
- **4. TITLE.** Enter title and subtitle with volume number and part number, if applicable. On classified documents, enter the title classification in parentheses.
- **5a. CONTRACT NUMBER.** Enter all contract numbers as they appear in the report, e.g. F33615-86-C-5169.
- **5b. GRANT NUMBER.** Enter all grant numbers as they appear in the report, e.g. AFOSR-82-1234.
- **5c. PROGRAM ELEMENT NUMBER.** Enter all program element numbers as they appear in the report, e.g. 61101A.
- **5d. PROJECT NUMBER.** Enter all project numbers as they appear in the report, e.g. 1F665702D1257; ILIR.
- **5e. TASK NUMBER.** Enter all task numbers as they appear in the report, e.g. 05; RF0330201; T4112.
- **5f. WORK UNIT NUMBER.** Enter all work unit numbers as they appear in the report, e.g. 001; AFAPL30480105.
- 6. AUTHOR(S). Enter name(s) of person(s) responsible for writing the report, performing the research, or credited with the content of the report. The form of entry is the last name, first name, middle initial, and additional qualifiers separated by commas, e.g. Smith, Richard, J, Jr.
- 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES). Self-explanatory.

#### 8. PERFORMING ORGANIZATION REPORT NUMBER.

Enter all unique alphanumeric report numbers assigned by the performing organization, e.g. BRL-1234; AFWL-TR-85-4017-Vol-21-PT-2.

- 9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES). Enter the name and address of the organization(s) financially responsible for and monitoring the work.
- **10. SPONSOR/MONITOR'S ACRONYM(S).** Enter, if available, e.g. BRL, ARDEC, NADC.
- **11. SPONSOR/MONITOR'S REPORT NUMBER(S).** Enter report number as assigned by the sponsoring/monitoring agency, if available, e.g. BRL-TR-829; -215.
- **12. DISTRIBUTION/AVAILABILITY STATEMENT.** Use agency-mandated availability statements to indicate the public availability or distribution limitations of the report. If additional limitations/ restrictions or special markings are indicated, follow agency authorization procedures, e.g. RD/FRD, PROPIN, ITAR, etc. Include copyright information.
- **13. SUPPLEMENTARY NOTES.** Enter information not included elsewhere such as: prepared in cooperation with; translation of; report supersedes; old edition number, etc.
- **14. ABSTRACT.** A brief (approximately 200 words) factual summary of the most significant information.
- **15. SUBJECT TERMS.** Key words or phrases identifying major concepts in the report.
- **16. SECURITY CLASSIFICATION.** Enter security classification in accordance with security classification regulations, e.g. U, C, S, etc. If this form contains classified information, stamp classification level on the top and bottom of this page.
- 17. LIMITATION OF ABSTRACT. This block must be completed to assign a distribution limitation to the abstract. Enter UU (Unclassified Unlimited) or SAR (Same as Report). An entry in this block is necessary if the abstract is to be limited.

## 1 Summary

The purpose of this program is to address the development of algorithms for adaptive processing of multi-sensor data, employing feedback to optimize the linkage between observed data and sensor control. The envisioned multi-modal adaptive system is applicable for intelligence, surveillance, and reconnaissance (ISR) in general environments, addressing base and port security, as well as urban and suburban sensing during wartime and peace-keeping operations. Of significant importance for current and anticipated DoD activities, the ISR system is designed to detect asymmetric threats, with the goal of recognizing unusual behavior or activities. Technologies and systems developed under this effort will be designed for semi-automated scene awareness, with the objective of recognizing behavior that appears atypical (e.g. atypical object motion, and dynamic characteristics of people and vehicles). Leveraging our previously developed technology, SIG is developing second-generation methods to adaptively learn the statistics of dynamic object behavior in video, while focusing on defining system requirements for sensor deployment by using field data (vs. highly controlled indoor data). SIG is also working closely with its subcontractor, Lockheed Martin, to integrate additional technologies, such as object classification and recognition, to provide a more robust and discriminative system. Additionally, SIG is cooperating with the Navy's China Lake facility to collect representative data for a deployed system, and to specify requirements and features necessary of such a system. Finally, SIG is coordinating with Integrian on prototype development schedules and product integration requirements, and defining a joint marketing and commercialization strategy for such products.

## 2 Technical Developments

Over the course of the past two months, significant progress has been made towards adding the final features necessary for the video tracking system. The code has successfully transitioned into its final format in C for efficient implementation, allow us to perform significant optimizations and achieve very efficient running times, on the order of 35 fps. We have also added important capabilities for object tracking across multiple cameras, object classification (allowing behavior analysis to be conditioned on the type of object observed), and virtual pan/tilt/zoom capabilities.

### 2.1 C code and Optimizations

Finishing the work started last reporting period, SIG has completed the transition of the code from the research rapid development environment in Matlab into the more efficient and flexible C code, which will be the format for the final delivered code. The final development in C code allows significant benefits for the system, including efficiency, flexibility, and portability. It also allows direct access to a variety of different types of camera video streams, capable of utilizing the camera developer's own highly efficient camera interface libraries for data input and camera control.

One of the primary benefits of a C implementation is the increased memory and processing efficiency possible in a lower level compiled system. Significant time has

been spent optimizing the tracking code, and we have achieved highly successful results. Intentionally testing on an older, lowered powered system, we used a 1.7 GHz Pentium M processor, which is more indicative of the anticipated system that would be readily available for a complete anomalous behavior detection system. On our benchmark testing sequences, including up to four objects moving simultaneously on screen, we are able to achieve speeds of 35 frames per second, well in excess of our targeted 15 fps. This provides plenty of leeway for inclusion of additional processing to handle the more advanced features we anticipate including over the next couple of months.

#### 2.2 Multi-camera Tracking

To maximally leverage the power of a multi-camera system, it is critical to be able to track objects as they pass from the field of view of one camera to another. While tracking each object separately for each camera may be possible, the data association problem can be difficult, as many viewing conditions can differ between the two cameras, such as viewing angle, distance, lighting conditions, and occlusions.

Our proposed solution specifically seeks to create observation models which take these viewing conditions into account to create a probabilistic set of associations. Specifically, we consider the *joint* probability of association, which allows all of the observable data to be treated in a relative fashion. Given that an observed pair of people in one camera could be associated with two other people in a second camera, it can be challenging to accurately model the probability of association for each one independently. However, when considering the joint probability, the factors which are most relevant become the relative differences. For instance, different viewing angles can make modeling the expected position for an object in the second camera very difficult, the joint probability is able to normalize out much of this noise, and instead focus on preserving the relative positions of the different objects.

Initial work has already been completed to implement this approach, and has been tested on our data. The results have been very encouraging, and as this technology matures, it will be integrated with the behavior modeling and analysis component of the system. This will provide significantly greater amounts of information for the system to work with, and be able to analyze potentially suspicious behavior across a significantly broader period of time, providing a corresponding increase in the discriminative power of the system.

#### 2.3 Object Classification

In the recent reporting period, we have implemented algorithms to perform classification of tracked foreground objects into one of multiple predefined object classes. This classification mechanism is based on shape characteristics as captured by the probabilistic shape models maintained internal to the Bayesian tracking engine. To reduce the complexity of the classification, we distill the shape model into a number of easily computed characteristics, such as height and width. The classification determined from each of these individual characteristics can be combined in a principled manner to

achieve accurate distributions. This concept has been applied to classification in many different ways before, such Ada-boost or bootstrapping methods. We have taken these ideas, and updated them to be included in our Bayesian framework. This provides a principled way of conditioning the expected behavior of the objects on their appearance.

$$p(pose \mid models, shape) = \Sigma_{class} p(pose \mid class, models) p(class \mid shape)$$

The initial classifier performance is encouraging and further refinements are being made based on additional data sets. The classifier is able to make decisions not just about individual shape classes (e.g. pedestrian versus vehicle), but also identifying other features such as presence of multiple pedestrians in a group that might otherwise be difficult to discern based on simple features such as velocity or position alone.

#### 2.4 Virtual Pan/Tilt/Zoom

One of the objectives of the current project work is to use the information extracted from the video detection and tracking engine to perform not only behavior analysis, but to also perform active sensor management that can enhance the information gained from objects identified by the tracking system. Camera technology trends and our own analysis results indicate that a promising approach to implement a Sensor Management Agent (SMA) would be to use it to create a 'virtual' zoom and pan functions that will be used in conjunction with high resolution digital video cameras to achieve the same effect with much better control and flexibility.

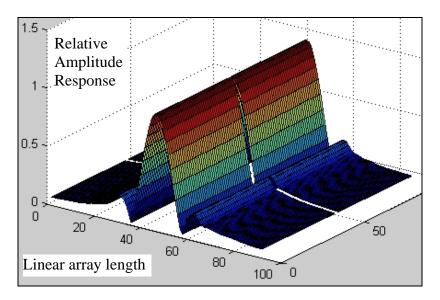
For typical video scenes, video analytic processing and accurate object tracking can be performed at lower resolution without loss in robustness but with a savings in computational load as well as internal data communications bandwidth. When objects of interest are detected in the video scene, it is often useful to create a 'virtual zoom' camera by processing the area of interest at higher resolution beyond the level that is needed to simply maintain tracking of the object. As the object moves, the system can track its motion and ensure that the high resolution 'virtual zoom' area is panned with the moving object of interest to support extraction of object features and/or display at higher resolution.

We have created the basic framework necessary for this approach of SMA in our current implementation. The method is based on identifying regions of interest, as specified by the tracking algorithm. Since moving objects are the pertinent portions of the image, it is intuitive that it is these sections which are most informative to concentrate, or "zoom" in on. The tracking algorithm provides both a predictive and a posterior estimation of the objects, allowing for a consistent "pan/tilt" mechanism, to provide continuity for a single virtual zoom. These areas can then be extracted and sent along either for more processing, or along a narrow bandwidth connection for visualization purposes. This framework provides visualizations that demonstrate a virtual pan/zoom function based on tracked objects as well as necessary features to support acoustic fusion as discussed below.

## 3 Acoustic Array

In addition to efficient extraction of visual information, this same virtual pan/zoom framework will be useful as we extend the same concept to additional types of sensors or processing regimes that are controlled by the low level object detection and tracking engine to extract even more information about the objects of interest that are being examined in the process of asymmetric threat detection.

In addition to our video work, SIG has moved forward with the internal development project (not developed on SEALS funding) to build a linear acoustic array that can collect acoustic data synchronized with color video data to create a real-time acoustic beam that can listen to a stationary or moving object under the control of the video tracking system. SIG has completed initial analysis of this acoustic array sensor and we are proceeding with data collection efforts as we work to fuse this sensor data with the ongoing video tracking effort. Figure 2 shows results of modeling this sensor to determine potential acoustic gain available to extract acoustic information in a multi-sensor tracking application.



**Figure 2:** Initial results for antenna array gain pattern modeling showing that significant azimuth gain can be achieved with a linear array, as well as potential ability to null nearby acoustics sources.

#### 4 Future Directions

During the next reporting period, our goal is to finish integrating more advanced methods into the tracking system to allow us to achieve the highest levels of discrimination in the most challenging situations of the our data collections. We will focus specifically on apparent object separation and merging instances, for example, when a person exits or enters a vehicle, or when they drop off or pick up a package. Another area of improvement will consider the challenges that can arise when objects remain on screen for an extended period of time, or loiter in place for a long time. This situation has particularly strong implications for the color models used, which are currently being

examined. The more recent features of the tracking algorithm, such as object classification and trans-camera tracking, will be tightly integrated into the behavior analysis section of the system, to provide more discriminative and informative results.

In addition to this near-term work, we are continuing to plan our efforts for the Year III research that ONR has indicated will be funded. One key aspect of this next year, as indicated previously, is to work toward transitioning the research to other efforts, such as the DARPA LACOSTE. In this effort and others, an important component of the sensor framework involves compressive-sensing and other related concepts to address the very high envisioned data rates. This compressive-sensing construct involves non-adaptive random sampling, while related constructs such as value-of-information sensor management algorithms are adaptive and non-random. Given ONR's interest in compressive sensing, within the final year of this program these two approaches will be investigated in the context of high-bit-rate video collections.

# Signal Inovations Group, Inc. Quarterly Cost Report Option 1 (08/28/2007 - 11/27/2007) N00014-05-C-0294 CONTINUATION SHEET

SCHEDULE NO.

SHEET NO.

U.S. DEPARTMENT, BUREAU, OR ESTABLISHMENT

OFFICE	OF NAV	'AL RESEARCH	
--------	--------	--------------	--

OFFICE OF N	NAVAL RESEA	ARCH			
NUMBER	DATE OF	ARTICLES OR SERVICES	QUAN-	UNIT PRICE	AMOUNT
AND DATE	DELIVERY	(Enter description, item number of contract or Federal	TITY	COST PE	R
OF ORDER	OR SERVICE	supply schedule, and other information deemed necessary)			
		N00014-05-C-0294			
		Signal Innovations Group, Inc.			
		1009 Slater Rd., Ste. 200			
		Durham, NC 27703			
		CONTRACT VALUE:			
		Estimated Cost			\$ 723,483
		Fixed Fee			57,878
		Total Estimated Cost Plus Fixed Fee			\$ 781,361
		FUNDED AMOUNT:			
		Estimated Cost			\$ 368,541
		Fixed Fee			29,483
		Total Estimated Cost Plus Fixed Fee			\$ 398,024
		Major Cost Elements:		CURRENT	CUMULATIVE
	Direct Labor		7,362.73	88,578.20	
		Benefits	_	2,200.86	24,497.92
	Subtotal		9,563.59	113,076.12	
	Expenses:				
		Travel		0.00	3,017.00
		Subcontract Expense		111,265.00	174,189.00
		Other Direct Costs		0.00	316.41
		Expenses Subtotal		111,265.00	177,522.41
		Overhead		5,247.43	60,545.38
		Subtotal		126,076.02	351,143.91
		Fee 8%		8,148.17	26,153.64
		Total Amount Due SIG		134,224.19	377,297.54
			_	,	